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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/745,651	12/22/2000	Glenn D. Kirwin	CF/013

1473
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CONFIRMATION NO. 1536

FORMALITIES LETTER



OC000000006101292

Date Mailed: 05/22/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 02/27/2001 to the Notice to File Missing Parts (Notice) mailed 01/31/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The oath or declaration is unsigned.
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Glenn D. Kirwin et al.
Application No.: 09/745,651 Confirmation No.: 1536
Filed : December 22, 2000
For : SYSTEMS AND METHODS FOR PROVIDING A
TRADING INTERFACE
Group Art Unit : 2161
Examiner : Not yet assigned

New York, New York 10020
August 15, 2001

Box MISSING PARTS
Hon. Commissioner
for Patents
Washington, D.C. 20231

REPLY TO NOTICE TO FILE
MISSING PARTS OF APPLICATION

Sir:

In reply to the Notice of Incomplete Reply (copy attached) issued by the Patent and Trademark Office on May 22, 2001 in connection with the above-identified patent application, applicants enclose herewith:

1. A copy of the May 22, 2001 Notice of Incomplete Reply;
2. Executed Declaration and Power of Attorney;
3. A Petition Under 37 C.F.R. § 1.136(a) for Extension of Time;
4. A check in the amount of \$130.00 in payment of the surcharge; and
5. A check in the amount of \$1,890.00 in payment of a five-month extension fee.

The Director is also hereby authorized to charge any additional fee or to credit any overpayment that may be due in connection with this Reply to Deposit Account No. 06-1075. A duplicate copy of this form is enclosed herewith.

Respectfully submitted,

I Hereby Certify that this
Correspondence is being
Deposited with the U.S.
Postal Service as First
Class Mail in an Envelope
Addressed to:
COMMISSIONER FOR
PATENTS
WASHINGTON, D.C. 20231 on

August 15, 2001
Lillian Garcia

[Signature]
Signature of Person Signing

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